



PORTFOLIO MANAGEMENT

Safety Guide

Financial Services

4

Key Benefits

16

Control Measures

3

Checklist Items

KEY BENEFITS

Optimized Returns

Optimizes returns through strategic asset allocation.

Diversification

Diversifies risk across multiple asset classes.

Active Management

Adapts to changing market conditions dynamically.

Goal Alignment

Aligns investments with client objectives and risk tolerance.

KEY HAZARDS & CONTROL MEASURES**Market Risk**

- ✓ Diversification strategies
- ✓ Risk budgeting
- ✓ Hedging instruments
- ✓ Scenario analysis

Concentration Risk

- ✓ Position limits
- ✓ Regular monitoring
- ✓ Sector allocation limits
- ✓ Rebalancing triggers

Liquidity Risk

- ✓ Liquidity assessment
- ✓ Cash reserves
- ✓ Illiquid asset limits
- ✓ Trading cost analysis

Operational Failures

- ✓ Trade verification
- ✓ System controls
- ✓ Reconciliation procedures
- ✓ Four-eyes principle



RISK ASSESSMENT
WIZARD

Take Your Safety To The Next Level

Create professional, customized risk assessments for your organization with our easy-to-use online wizard.

390+

Activity Templates

Pre-loaded hazards & controls for every activity

100%

Customizable

Add your branding & tailor to your needs

- ✓ Instant PDF & print-ready documents
- ✓ Store and update assessments online
- ✓ Add your logo & organization details
- ✓ Reuse and duplicate assessments
- ✓ Works on any device

Start Free at riskassessmentwizard.com

No credit card required - Try it free today