RISK ASSESSMENT WIZARD



FINANCIAL PLANNING

Safety Guide

Financial Services

4	16	3
Key Benefits	Control Measures	Checklist Items

KEY BENEFITS

Goal Setting

Encourages disciplined financial habits and goal setting.

Clarity

Provides clarity on short-term and long-term financial priorities.

Stress Reduction

Reduces financial stress through structured planning.

Preparedness

Improves preparedness for retirement and emergencies.

KEY HAZARDS & CONTROL MEASURES

Market Volatility

- ✔ Diversified investment strategies
- ✔ Risk tolerance assessments
- ✔ Regular portfolio reviews
- ✔ Client education on volatility

Cybersecurity Threats

- ✔ Secure client portals
- ✔ Encrypted communications
- ✔ Regular security training
- ✔ Multi-factor authentication

Fraudulent Activities

- ✔ Client identity verification
- ✔ Transaction monitoring
- ✔ Segregation of duties
- ✔ Regular account reconciliation

Regulatory Changes

- ✔ Compliance monitoring
- ✔ Regulatory update subscriptions
- ✔ Staff training programs
- ✔ Legal counsel engagement

RISK ASSESSMENT WIZARD

Take Your Safety To The Next Level

Create professional, customized risk assessments for your organization with our easy-to-use online wizard.

390+

Activity Templates

Pre-loaded hazards & controls for every activity

100%

Customizable

Add your branding & tailor to your needs

- ✔ Instant PDF & print-ready documents
- ✔ Store and update assessments online
- ✔ Add your logo & organization details
- ✔ Reuse and duplicate assessments
- ✔ Works on any device

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